



SUSTAINMENT

OFFICE OF THE ASSISTANT SECRETARY OF WAR

3500 DEFENSE PENTAGON
WASHINGTON, DC 20301-3500

MEMORANDUM FOR SUPPLY AND SUPPLY DISCREPANCY REPORT PROCESS REVIEW COMMITTEES

SUBJECT: Approved Defense Logistics Management Standards Change 1492, Mandatory Use of Advanced Shipment Notice (Supply/SDR)

The attached Defense Logistics Management Standards (DLMS) change is approved for immediate implementation.

This Approved Defense Logistics Management Standards Change (ADC) 1492 mandates the use of Advanced Shipment Notices (ASN) and Pre-Positioned Materiel Receipts (PMR) for all shipments prior to delivery across the Department of War. This change is a direct response to a Notice of Finding and Recommendation (NFR FIN-2023-WCF-082) that identified deficiencies in tracking inventory delivered to Defense Logistics Agency (DLA) Distribution Centers. The key changes authorized in this ADC include:

- Mandatory Advanced Shipment Notice (ASN): Requires for shipment notifications prior to the physical arrival of goods. This change will standardize traceability, significantly reduce suspended stock, and improve supply discrepancy reporting across all warehousing systems and DoW Components.
- Mandatory Pre-Positioned Materiel Receipt (PMR): In conjunction with the ASN, a DLMS 527D PMR must be transmitted for all shipments (except for DLA Disposition Services).

Please direct any questions regarding this implementation to Dr. Gail Fuller and Corbin Austin at DEDSO.Supply@dla.mil. Please contact your designated Process Review Committee (PRC) Representative, listed on the Defense Enterprise Data Standards Office (DEDSO) Committee Contacts Webpage.

Erica H. Plath
Deputy Assistant Secretary of War
for Logistics

Attachment:
As Stated

Approved Defense Logistics Management Standards Change 1492

Mandatory Use of Advanced Shipment Notice

1. ORIGINATING SERVICE/AGENCY AND POC INFORMATION:

a. **Technical POC:** Rafael Gonzalez, Defense Logistics Agency (DLA) Headquarters (HQ) J345 Inventory Management, (717) 770-2028, Rafael.gonzalez@dla.mil

b. **Functional POC:** Troy Brown, DLA HQ J345 Inventory Management, (717) 770-3120, troy.brown@dla.mil

2. REASON FOR CHANGE:

a. **Bottom Line Up Front:** Significant changes after staffing are in green highlighting. This change reemphasizes the requirement to transmit a shipment notification (i.e., Defense Logistics Management Standard (DLMS) 856S Shipment Status, DLMS 856R Shipment Status – Returns, and DLMS 856P Procurement and Advanced Shipment Notice (ASN)) along with a DLMS 527D Pre-Positioned Materiel Receipt (PMR) for all types of shipments prior to delivery, including New Procurement, Other Than New Procurement, Directed Returns, Unauthorized Returns, and Stock Transfer Orders.

b. Background:

(1) Notice of Finding and Recommendation (NFR) FIN-2023-WCF-082 (Advana Key: DLANFR-5879) requires additional policies and procedures for DLA to properly track and account for inventory delivered to a DLA Distribution Center but not yet recorded as inventory on-hand. To remediate this finding, DLA is implementing several changes (referred to as inbound redesign) that will enable the DLA Warehouse Management System to have full visibility of all materiel from the moment of offload/delivery.

(2) Under the inbound redesign, DLA will use a combination of the PMR and/or the applicable shipment notice to determine ownership and materiel in each shipment.

(a) DLA must receive the required transactions in advance of physical shipment to ensure accurate and timely materiel accountability.

(b) Although FIN-2024-WCF-082 (Advana Key: DLANFR-5879) is a DLA finding, the use of the DLMS 527D PMR, and the applicable shipment notification (DLMS 856P/856R/856S) applies to all shipments across the DoW. This change will enable all DoW Components to address similar audit findings at their storage activities.

(3) Proper transmission of the PMR and the shipment notice allows DLA and other storage activities to receive ASNs, as well as PMRs, increasing data accuracy at time of goods receipt.

(a) Lack of DLMS 856P ASN or 527D PMR will:

1. Delay reporting.
2. Increase suspended and frustrated stock.
3. Potentially result in wrong ownership and missing materiel.

(b) The lack of ASN/PMR may cause the materiel to be suspended.

3. CHANGE IN DETAIL:

a. Technical Details:

(1) At minimum, inventory control points (ICPs) or shipping activities must transmit one of the following shipment notice transactions prior to delivery. The type of transaction will depend on the type of shipment.

(a) DLMS 856P (Advanced Shipment Notice – Procurement): Used for newly acquired items from a vendor.

(b) DLMS 856S (Shipment Status): A general-purpose notice detailing the contents and status of a standard shipment.

(c) DLMS 856R (Shipment Status – Returns): Used specifically when materiel is being returned to the storage activity.

(2) Except for DLA Disposition Services, the PMR is mandatory regardless of the type of shipment.

(3) For serially managed items, the lack of shipment notice at time of goods receipt will cause materiel to be suspended in supply condition code “K” (non-procurement), or “L” (new procurement), depending on the initiating source.

(4) For non-serially managed items, shipments will only be suspended when missing both the shipment notice and the PMR. Prompt transmission of the PMR will ensure materiel is receipted under the correct materiel owner.

(5) Materiel lacking shipment notice and/or PMR will be subject to an SDR as shown in Tables 1 and 2.

Table 1. Serially Managed Items at Time of Goods Receipt

ASN	PMR	SDR	Suspended	SDR Discrepancy Code
Yes	Yes	No	No	N/A
Yes	No	Yes	No	Z3
No	Yes	Yes	Yes	U15
No	No	Yes	Yes	Z3 and U15

Table 2. Non-Serially Managed Items at Time of Goods Receipt

ASN	PMR	SDR	Suspended	SDR Discrepancy Code
Yes	Yes	No	No	N/A
Yes	No	Yes	No	Z3
No	Yes	Yes	No	Z9
No	No	Yes	Yes	Z3 and Z9

(6) When the materiel is suspended solely due to a missing shipment status/ASN and/or the PMR, the materiel owner will need to reply to the SDR with one of the following reply codes:

(a) Reply Code 130: Request materiel to be upgraded to issuable condition.

(b) Reply Code 105: Request materiel to be shipped back at the materiel owner's expense.

(7) Materiel will not be in an issuable condition until the materiel owner provides resolution. Upon SDR disposition, a care of supplies in storage action will be taken on the materiel and reclassify, as needed.

(8) Incorporates the materiel owner (qualifier "OW") in several DLMS transactions.

(a) Add new qualifier "OW" – Owner to the following transactions to enable DoW Components to communicate the materiel owner to be perpetuated into the DLMS 856R/856S. The "OW" qualifier provides a way for shipping activities to communicate the materiel owner routing identifier code (RIC) when available. This is a requirement from ADC 1244B; however, the change did not address how to communicate the value from a materiel release order (DLMS 940R):

1. DLMS 940R Materiel Release Order (2/N101/1100)

2. DLMS 867I (4010) Issue (2/N101/50)

3. DLMS 945A (4030) Materiel Release Confirmation (2/N101/0800)

(9) Authorize DAAS to construct and transmit a DLMS 527D PMR (DWK) based on the DLMS 856S or 856R when qualifier "OW" is populated with a valid RIC. DLMS 527D will be transmitted to the ship-to in the original DLMS 856R/S.

(10) Add qualifier "NN" – Nonconformance Report Number (originating system SDR control number) on several DLMS transactions.

(a) The qualifier will help associate any PMR, receipt, or ship-in-place transactions used to reverse or correct materiel ownership.

(b) The qualifier also helps with transaction tracing and the DLA Finance, J8 credit process.

(c) The following transactions will now carry the “NN” qualifier:

1. DLMS 527D (4010) PMR (2/N901/030) – The originating system SDR control number will be inbounded to the receiving activity in response to a ship-in-place.

2. DLMS 527R (4010) Receipt (2/N901/030) – At time of receipt, the originating system SDR control number will be perpetuated into the transaction.

3. DLMS 940R Materiel Release Order (MRO) (2/N901/0400) – The originating system SDR control number will be inbounded to the receiving/shipping activity processing the ship-in-place MRO.

4. DLMS 867I (4010) Issue (2/REF01/30) – The originating system SDR control number will be perpetuated from the ship-in-place MRO.

5. DLMS 945A (4030) Materiel Release Advice (2/N901/0400) – The originating system SDR control number will be perpetuated from the ship-in-place MRO.

b. Revised Transaction Flow: No changes in transaction flow.

4. IMPLEMENTATION TARGET: No later than five years from approval.

a. Systems currently transmitting DLMS 856P/856S/856R transactions must ensure these are sent to all DoW storage activities for every shipment, as applicable, regardless of commodity.

b. DoW Components should prioritize implementation of these transactions as soon as possible but no later than five years from approval.

5. TECHNICAL IMPACT:

a. Additions or Changes to Data Elements: See Enclosure 2 for additions or changes to data elements.

b. Automated Information Systems (AIS): This change applies to all DoW Component inventory management systems of record not transmitting the DLMS 527D PMR and the applicable DLMS 856 transaction (DLMS 856P/856R/856S) for every shipment directed to a DoW storage activity. In addition, DAAS must update the mapping for DLMS 940R, 867I, and 945A as described in Enclosure 2. These procedures apply to offline ordering.

System Name	Responsible Component	DoD Information Technology Portfolio Repository Number
DLMS IC 527D	–	–
Enterprise Logistics Management System (ELMS)	DLA	3266
Enterprise Business System (EBS)	DLA	488
Distribution Standard System (DSS)/Warehouse Management System (WMS)	DLA	281

System Name	Responsible Component	DoD Information Technology Portfolio Repository Number
Defense Medical Logistics Standard Support-Wholesale (DMLSS-W) DLA Troop Support Medical Supply	DLA	13412
DLA Mapping Enterprise Business System (MEBS)	DLA	10836
U.S. Army Logistics Modernization Program (LMP) Product Management Office (PdM)	U.S. Army	232
Global Combat Support System-Army (GCSS-ARMY)	U.S. Army	621
Commercial Asset Visibility (CAV) II and CAV Organic Repairable Module (ORM)	U.S. Navy	811
Electronic Retrograde Management System (eRMS)	U.S. Navy	4595
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Ordnance Information System (OIS)	U.S. Navy	727
Naval Air Systems Command (NAVAIR) Depot Maintenance System (NDMS)	U.S. Navy	422
Naval Supply Systems Command (NAVSUP) Weapons Systems Support (WSS) (formerly Naval Inventory Control Point (NAVICP))	U.S. Navy	1532
Integrated Logistics Systems Supply (ILS-S)	U.S. Air Force	198
U.S. Space Force Launch and Test Range System, Integrated Support Contract – Maximo	U.S. Air Force	7912
Global Combat Support System-Marine Corps (GCSS-MC)	U.S. Marine Corps	20396
DLMS IC 527R	–	–
DSS/WMS	DLA	281
DMLSS-W DLA Troop Support Medical Supply	DLA	13412
ELMS	DLA	3266
EBS	DLA	488
FedMall	DLA	416
GCSS-Army	U.S. Army	621
USA LMP PDM	U.S. Army	232
Commercial Asset Visibility II (CAV II) and CAV Organic Repairable Module (CAV ORM)	U.S. Navy	811
MAXIMO Enterprise	U.S. Navy	787
NAVAIR Depot Maintenance System (NDMS)	U.S. Navy	422
Naval Supply Systems Command (NAVSUP) One Touch Support (OTS)	U.S. Navy	313
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Ordnance Information System (OIS)	U.S. Navy	727
Outfitting Requisition Control & Accounting System (ORCAS)	U.S. Navy	1482
NAVAIR Depot Maintenance System (NDMS)	U.S. Navy	422
Naval Logistics Library	U.S. Navy	6937
NAVICP Supply Support Program	U.S. Navy	1532
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Stock Control System (SCS)	U. S. Air Force	197
Integrated Logistics Systems Supply (ILS_S)	U.S. Air Force	198
USAF Munitions Functional Management Office (FMO) Theater Integrated Combat Munitions System (TICMS)	U.S. Air Force	26769

System Name	Responsible Component	DoD Information Technology Portfolio Repository Number
US Space Force Program Launch and Test Range System Integrated Support Contract MAXIMO	U.S. Air Force	7912
GCSS-MC	U.S. Marine Corps	20396
Marine Corps Food Management Information System (MCFMIS)	U.S. Marine Corps	4540
Master Automated Information Transaction (MAIT)	U.S. Marine Corps	5030
DLMS IC 856S	–	–
ELMS	DLA	3266
EBS	DLA	488
Hazardous Material Management System (HMMS)	DLA	221
DMLSS-W DLA Troop Support Medical Supply	DLA	13412
DLA MEBS	DLA	10836
DSS/WMS	DLA	281
U.S. Army LMP PdM	U.S. Army	232
GCSS-Army	U.S. Army	621
Web Compliance Assistance and Sustainment System-Enterprise (WEBCASS-E) Hazardous Material Inventory Database (HMID)	U.S. Army	4204
NERP	U.S. Navy	802
OIS	U.S. Navy	727
ORCAS	U.S. Navy	1482
eRMS	U.S. Navy	4595
NAVSUP WSS (formerly NAVICP)	U.S. Navy	1532
Naval Electronic Commerce Online (NECO)	U.S. Navy	425
Maximo Enterprise	U.S. Navy	787
NDMS	U.S. Navy	422
United States Air Force (USAF) Enterprise Environmental, Safety, and Occupational Health-Management Information System (EESOH-MIS)	U.S. Air Force	69
ILS-S	U.S. Air Force	198
USAF Munitions Functional Management Office (FMO) Theater Integrated Combat Munitions System (TICMS)	U.S. Air Force	26769
Marine Corps Food Management Information System (MCFMIS)	U.S. Marine Corps	4540
GCSS-MC	U.S. Marine Corps	20396
Master Automated Information Transaction (MAIT)	U.S. Marine Corps	5030
Management Information System International Logistics (MISIL) Program	Other	4246
The Joint Program Management Office (JPMO) Mechanization of Contract Administration Services (MOCAS)	Other	423
DLMS IC 856R	–	–
EBS	DLA	488
GCSS-Army	U.S. Army	621
U.S. Army LMP PdM	U.S. Army	232
eRMS	U.S. Navy	4595
NERP	U.S. Navy	802
ILS-S	U.S. Air Force	198

System Name	Responsible Component	DoD Information Technology Portfolio Repository Number
GCSS-MC	U.S. Marine Corps	20396
DLMS IC 867I	—	—
DSS/WMS	DLA	281
DMLSS-W DLA Troop Support Medical Supply	DLA	13412
ELMS	DLA	3266
Enterprise Business System (EBS)	DLA	488
USA LMP PDM	U.S. Army	232
Commercial Asset Visibility II (CAV II) & CAV Organic Repairable Module (CAV ORM)	U.S. Navy	811
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Ordnance Information System (OIS)	U.S. Navy	727
Outfitting Requisition Control & Accounting System (ORCAS)	U.S. Navy	1482
NAVAIR Depot Maintenance System (NDMS)	U.S. Navy	422
DLMS IC 940R	—	—
DSS/WMS	DLA	281
DLA Mapping Enterprise Business System (MEBS)	DLA	10836
DMLSS-W DLA Troop Support Medical Supply	DLA	13412
ELMS	DLA	3266
Enterprise Business System (EBS)	DLA	488
USA LMP PDM	U.S. Army	232
Global Combat Support System- Army (GCSS-Army)	U.S. Army	621
Naval Logistics Library	U.S. Navy	6937
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Ordnance information System (OIS)	U.S. Navy	727
Commercial Asset Visibility II (CAV II) & CAV Organic Repairable Module (CAV ORM)	U.S. Navy	811
Air Force (AF) Stock Control System (SCS)	U.S. Air Force	197
Integrated Logistics Systems Supply (ILS-S)	U.S. Air Force	198
USAF Munitions Functional Management Office (FMO) Theater Integrated Combat Munitions System (TICMS)	U.S. Air Force	26769
GCSS-MC	U.S. Marine Corps	20396
DSS/WMS	DLA	281
ELMS	DLA	3266
Enterprise Business System (EBS)	DLA	488
DLA Mapping Enterprise Business System (MEBS)	DLA	10836
DMLSS-W DLA Troop Support Medical Supply	DLA	13412
Global Combat Support System-Army (GCSS-Army)	U.S. Army	621
USA LMP PDM	U.S. Army	232
NAVAIR Depot Maintenance System (NDMS)	U.S. Navy	422
Naval Logistics Library	U.S. Navy	6937
Navy Enterprise Resource Planning System (NERP)	U.S. Navy	802
Ordnance Information System (OIS)	U.S. Navy	727
Air Force (AF) Stock Control System (SCS)	U.S. Air Force	197
Integrated Logistics System (ILS-S)	U.S. Air Force	198

System Name	Responsible Component	DoD Information Technology Portfolio Repository Number
USAF Munitions Functional Management Office (FMO) Theater Integrated Combat Munitions System (TICMS)	U.S. Air Force	26769
U.S. Space Force Program Launch and Test Range System Integrated Support Contract MAXIMO	U.S. Air Force	7912

c. DAAS:

(1) DAAS will send an image copy of all inbound DLMS 856P, 856R, and 856S transactions to the ship-to Department of Defense Activity Address Code (DoDAAC) or RIC, as applicable.

(a) This change will ensure the storage activity (ship-to) receives all DLMS 856 transactions, every time.

(b) DAAS will send the image copy in the form of a DLMS 856P for new procurement and as a DLMS 856S/R for other than new procurement.

(c) For legacy Military Standard systems, use document identifier code (DIC) “AS2”; there is no DIC for 856P.

(2) In addition, DAAS will construct and transmit a DLMS 527D PMR (DIC DWK) based on the DLMS 856R/S when qualifier “OW” is populated with a valid RIC.

(3) Authorize Defense Automatic Addressing System (DAAS) to transmit a copy of all inbound DLMS 856P/S/R to the ship-to address, regardless of the media status.

(a) For other than new procurement shipments, DAAS will transmit a copy of DLMS 856S using legacy DIC “AS2” in the “LQ” segment.

(b) This change will help ensure storage activities receive the ASN every time.

6. PUBLICATION/POLICY IMPACT:

a. Defense Logistics Manual (DLM) 4000.25: Revise as shown in Enclosure 1.

(1) DLM 4000.25, Volume 2, Chapter 4, “Supply Standards and Procedures – Requisitioning”

(2) DLM 4000.25, Volume 2, Chapter 12, “Supply Standards and Procedures – Due-in and Pre-Positioned Materiel Receipt”

(3) DLM 4000.25, Volume 2, Chapter 13, “Supply Standards and Procedures Materiel Receipt”

(4) DLM 4000.25, Volume 2, Chapter 17, “Supply Standards and Procedures – Supply Discrepancy Reporting”

(5) DLM 4000.25, Volume 2, Appendix 7.28, “Supply Standards and Procedures – Supply Discrepancy Report Relevant Data Elements”

b. **Non-DLM 4000.25 Publications:** None.

7. **ASSUMPTIONS:** None.

8. FINANCIAL IMPROVEMENT AND AUDIT REMEDIATION (FIAR), MATERIAL WEAKNESS, AND AUDIT-RELATED INFORMATION:

a. **NFR:** FIN-2024-WCF-082 (Advana Key: DLANFR-5879): Insufficient Policies and Procedures to Track Inventory received and not yet recorded in DSS.

b. **Corrective Action Plan References:** FIN-2022-WCF-054 ([DLACAP-11707](#)):

(1) DLA will perform analysis on the receipt process to fully understand:

(a) The policy/posting logic and overage quantities identified within the NFR conditions for stock transfer orders.

(b) Unauthorized returns.

(c) All other instances of receipts.

(2) DLA will:

(a) Develop/update policies/functional/technical specifications.

(b) Determine whether posting logic is necessary.

(c) Train employees.

(d) Test.

c. **Other FIAR, Material Weakness, and Audit-Related Information:** None.

9. **END-TO-END TESTING REQUIREMENTS:** DoW Components implementing the DLMS 856P/856R/856S or the DLMS 527D PMR should perform end-to-end testing with DAAS and DLA to ensure proper transmission. DoW Components must contact DAAS via DAASTesting@dla.mil to coordinate any testing efforts.

10. **REFERENCE:** Approved DLMS Change (ADC 1472, Procedures for Correcting Adjusting Ownership Changes After Goods Receipts (Supply and Supply Discrepancy Reporting)

Enclosure 1

1. Revise the Defense Logistics Manual (DLM) 4000.25, Volume 2, Supply Standards and Procedures, Chapter 4, Requisitions as shown. Changes are identified by **bold red** font and deletions by ~~red strikethrough~~ text. Renumbering may be required.

C4.1. GENERAL.

C4.1.1. This chapter prescribes procedures for the preparation and submission of requisitions with specific requisitioning requirements involving Not Mission Capable Supply (NMCS), Anticipated NMCS (ANMCS), Pre-Positioned War Reserve Materiel Stock (PWRMS), conventional ammunition, subsistence, and **General Services Administration (GSA)** non-mailable items. This chapter also provides procedures for requisition cancellations, modifications, and inquiries.

C4.1.2. In addition, this chapter prescribes the source of supply and storage activity processing procedures of the aforementioned transactions including the preparation and processing of the materiel release, materiel release advice, and **Defense Automatic Addressing System (DAAS)** edits associated with requisition processing. **To ensure proper accountability of materiel, inventory control points (ICPs) and/or shipping activities must ensure advanced shipment notice (ASN) and pre-positioned materiel receipts (PMRs) are transmitted to the receiving storage activity as described in this chapter.**

C4.1.2.1. For serially managed materiel, the lack of ASN will result in suspended stock and a Supply Discrepancy Report (SDR). Refer to Chapter 17 for procedures on how to create an SDR.

C4.1.2.2. For non-serially managed materiel, the lack of the ASN and the PMR will result in suspended stock and an SDR.

C4.1.2.3. No PMR at time of receipt could cause materiel to be receipted under the incorrect materiel owner. Refer to Chapter 12 for procedures on how to transmit a PMR.

(Preceding text not shown.)

C4.1.5.10. Materiel Release Denial Transaction is DLMS 945A with Transaction Type Code “NK” – Materiel Release Denial. Must use the ASC X12 Version 4030 as this version allows the UII to be carried in single data field. This transaction provides the functionality of MILSTRIP legacy DIC A6_, except A6J.

C4.1.5.11. Materiel Cancellation Advice is DLMS 945A, with Transaction Type Code “NR” – Materiel Release Cancellation Advice. This transaction provides the functionality of MILSTRIP legacy DIC AG6.

C4.1.5.12. Materiel Release is DLMS 940R, with Transaction Type Code “NA” – Materiel Release Order. This transaction provides the functionality of MILSTRIP DIC A5_ or AM_, except A5J/AMJ; or A4_, except with Distribution Code “2” or “3.”

C4.1.5.13. Issue DLMS 867I, with Report Type Code 01 – Product Transfer. This transaction provides the functionality of MILSTRIP DIC D7_.

C4.1.5.14. Shipment Status is DLMS 856S, with Transaction Type Code “AS” – Shipment Advice. This transaction provides the functionality of MILSTRIP legacy DIC AS8.

(Preceding text not shown.)

C4.7.16.3.3. Use of the MRC Change/Update by the ICP. The value of this transaction to the ICP is to provide correct transportation data, enabling it to prepare an updated shipment status message. DLMS compliant systems should handle the updated transactions as the official MRC in their application, since they carry the corrected data.

C4.7.16.3.4. ASN to Storage Activity. The ICP and/or the shipping activity must provide the ASN and PMR to the receiving storage activity ahead of the shipment via DLMS 856S Shipment Status, transaction type code “AS.” When available, the DLMS 856S or 856R shipment status must contain the materiel owner RIC (2/N101/2200, Entity Identifier Code “OW”).

C4.7.16.3.4.1. For serially managed materiel, the lack of ASN will result in suspended stock and an SDR.

C4.7.16.3.4.2. For non-serially managed materiel, the lack of the ASN and PMR will result in suspended stock and an SDR.

C4.7.16.3.4.3. No PMR at time of receipt could cause materiel to be receipted under the incorrect materiel owner. Refer to Chapter 12 for procedures on how to transmit a PMR.

(Preceding text not shown.)

C4.7.20.7.4.2. If the current status is BA and the ESD or the UMMIPS timeframes has passed, provide Status Code “B5” to the requisitioner and send a DLMS 940R, Materiel Release, with Transaction Type Code “NB” to the reporting activity. Sources of supply in receipt of responses to follow-ups will send appropriate status to eligible recipients using section C4.7. procedures.

C4.7.20.7.4.3. If the current status is positive shipping status, provide a DLMS 856S with Transaction Type Code AS. **In addition, the ICP must transmit a DLMS 856S Shipment Status to the shipping activity to provide an ASN. The shipping activity may transmit the Shipment Status on behalf of the ICP. When known, the shipment status must contain the materiel owner RIC (2/N101/2200, Entity Identifier Code “OW”). The ICP or future materiel owner must transmit a PMR to the receiving storage activity to ensure proper accountability of the materiel. The lack of the ASN and/or PMR may result in suspended stock and an SDR. No PMR at time of receipt could cause the materiel to be receipted under the incorrect materiel owner.**

2. Revise DLM 4000.25, Volume 2, Supply Standards and Procedures, Chapter 12, Due-In and Pre-Positioned Materiel Receipt as shown. Changes are identified by **bold red** font and deletions by ~~red strikethrough~~ text. Renumbering may be required.

C12.2. CONTROL OF MATERIEL DUE-IN.

C12.2.1. Establishing Due-In Records. IMMJs will establish due-in records for all anticipated receipts of materiel to maintain property accountability or visibility of materiel due-in to inventory, as required under Chapter 6. **The inventory control point (ICP) or shipping activity must transmit the DLMS 856S shipment status to the receiving activity. In addition, the ICP or future materiel owner must transmit the PMR ahead of the shipment. Both transactions (i.e., shipment status and PMR) are mandatory prior to delivery. See Chapter 17 for additional information on supply discrepancy reporting and the appropriate discrepancy codes.**

C12.2.1.1. For serially managed materiel, the lack of the ASN will result in suspended stock and an SDR.

C12.2.1.2. For non-serially managed materiel, the lack of the ASN and PMR will result in suspended stock and an SDR.

C12.2.1.3. No PMR at time of receipt may cause materiel to be receipted under the incorrect materiel owner. Refer to Chapter 12 for procedures on how to transmit a PMR.

C12.2.1.4. SDR applies when the sole discrepancy is a missing PMR and/or ASN. See Chapter 17 for SDR instructions.

3. Revise DLM 4000.25, Volume 2, Supply Standards and Procedures, Chapter 13, Materiel Receipt as shown. Changes are identified by **bold red** font and deletions by ~~red strikethrough~~ text. Renumbering may be required.

C13.2. MATERIEL RECEIPT PROCESSING.

(Preceding text not shown.)

C13.2.1.3.1. Advanced Shipment Notice (ASN) and PMR. The ICP or shipping activity must transmit the DLMS 856S shipment status to the receiving activity. In addition, the ICP or future materiel owner must transmit the PMR ahead of the shipment. Both transactions (i.e., shipment status and PMR) are mandatory prior to delivery.

C13.2.1.3.2 For serially managed materiel, the lack of the ASN will result in suspended stock and an SDR.

C13.2.1.3.3. For non-serially managed materiel, the lack of the ASN and PMR will result in suspended stock and an SDR.

C13.2.1.3.4. No PMR at time of receipt will cause materiel to be receipted under the incorrect materiel owner. Refer to Chapter 12 for procedures on how to transmit a PMR.

C13.2.1.4.5. SDR applies when the sole discrepancy is a missing PMR and/or ASN. See Chapter 17 for SDR instructions. The materiel owner may also receive an info-only SDR when the ASN is missing, except for serially managed items.

4. Revise DLM 4000.25, Volume 2, Supply Standards and Procedures, Chapter 17 Supply Discrepancy Reporting as shown. Changes are identified by **bold red** font and deletions by ~~red strikethrough~~ text. Renumbering may be required.

(Preceding text not shown.)

C17.3.6.4. Materiel receipted without PMR will result in an info-only SDR being submitted with a discrepancy code of “Z3” and an action code of “3B.”

(Preceding text not shown.)

C17.3.7. Storage Activity Receipt With No Shipment Notification. To ensure proper accountability of materiel, ICPs and/or shipping activities must ensure an ASN (DLMS 856 Advanced Shipment Notice and shipment status/returns) is transmitted to the receiving storage activity as described in Chapters 4, 12, and 13.

C17.3.7.1. For serially managed materiel, the lack of an ASN will result in the materiel being suspended in Supply Condition Code “L” (new procurement), or “K” (non-procurement), and an SDR submitted with a Discrepancy Code of U15 and an Action Code of “1A.” See Table C17.T1. for more detailed information.

C17.3.7.2. For non-serially managed materiel, the lack of an ASN and PMR will result in the materiel being suspended in Supply Condition Code “L” (new procurement), or “K” (non-procurement), and an SDR submitted with a Discrepancy Code of “Z3” and “Z9” and an Action Code of “1A.” See Table C17.T2. for more detailed information.

C17.3.7.3. For non-serially managed materiel, lack of an ASN will result in an info-only SDR being submitted with a Discrepancy Code of “Z9” and an Action Code of “3B.”

Table C17.T1. Serially Managed Items at Time of Goods Receipt

ASN	PMR	SDR	Suspended	SDR Discrepancy Code
Yes	Yes	No	No	N/A
Yes	No	Yes	No	Z3
No	Yes	Yes	Yes	U15
No	No	Yes	Yes	Z3 and U15

Table C17.T2. Non-Serially Managed Items at Time of Goods Receipt

ASN	PMR	SDR	Suspended	SDR Discrepancy Code
Yes	Yes	No	No	N/A
Yes	No	Yes	No	Z3
No	Yes	Yes	No	Z9
No	No	Yes	Yes	Z3 and Z9

5. Revise DLM 4000.25, Volume 2, Appendix 7.28, Supply Discrepancy Reporting Relevant Data Elements as shown. Changes are identified by **bold red** font and deletions by ~~red strikethrough~~ text. Renumbering may be required.

(Preceding text not shown.)

Other Discrepancies

Z1 Other discrepancy – see remarks.

Z2 Repetitive discrepancy (must use in combination with other codes or describe in remarks).

Z3 Distribution Center receipt not due-in; no prepositioned materiel receipt (PMR).

Z4 No record exists for document number cited on supply document (not used by distribution center, not applicable to Security Assistance).

Z5 Immediate resolution/replacement of discrepant item under inter-Service support agreement (restricted use per Service guidance).⁹

Z7 Property not authorized or not acceptable for turn-in to DLA Disposition Services.

Z8 Materiel unidentifiable; stock number missing or damaged.

Z9 No Advanced Shipment Notice.

Enclosure 2

Revise the following Defense Logistics Management Standards (DLMS) Implementation Conventions (ICs) as shown. Additions are identified by **bold red** font.

#	Location	DLMS 527D (Version 4010) Due-in/Advance Receipt /Due Verification	Reason
1.	DLMS Introductory Note	<u>Add DLMS Introductory Note as follows:</u> - ADC 1492, Mandatory Use of Advanced Shipment Notice (Supply)	Add change to mandate advanced shipment notice (ASN).
2.	2/N901/30	<u>Add qualifier NN and DLMS Note as follows:</u> NN – Nonconformance Report Number DLMS Note: Use to identify the submitting system’s SDR/SQCR report control number. This will be the originator’s system assigned number.	Add Supply Discrepancy Report (SDR) number to associate transactions used to provide credit due to ownership change (e.g., pre-positioned materiel receipt (PMR), receipt, materiel release order (MRO) ship-in-place, issue, materiel release confirmation (MRC).

#	Location	DLMS 940R Materiel Release	Reason
1.	DLMS Introductory Note	<u>Add DLMS Introductory Note as follows:</u> - ADC 1492, Mandatory Use of Advanced Shipment Notice (Supply)	Add change to mandate ASN.
2.	2/N901/0400	<u>Add qualifier NN and DLMS Note as follows:</u> NN – Nonconformance Report Number DLMS Note: Use to identify the submitting system’s SDR/SQCR report control number. This will be the originator’s system assigned number.	Add SDR Number to associate transactions used to provide credit due to ownership change (e.g., PMR, receipt, MRO Ship-in-Place, Issue, MRC).
3.	2/N101/1100	<u>Add new qualifier OW and DLMS note as follows:</u> OW – Owner of Property or Unit DLMS Note: Used to communicate with the materiel owner that will be perpetuated into the shipment status/return.	Allow the MRO to carry the future owner of the shipment.

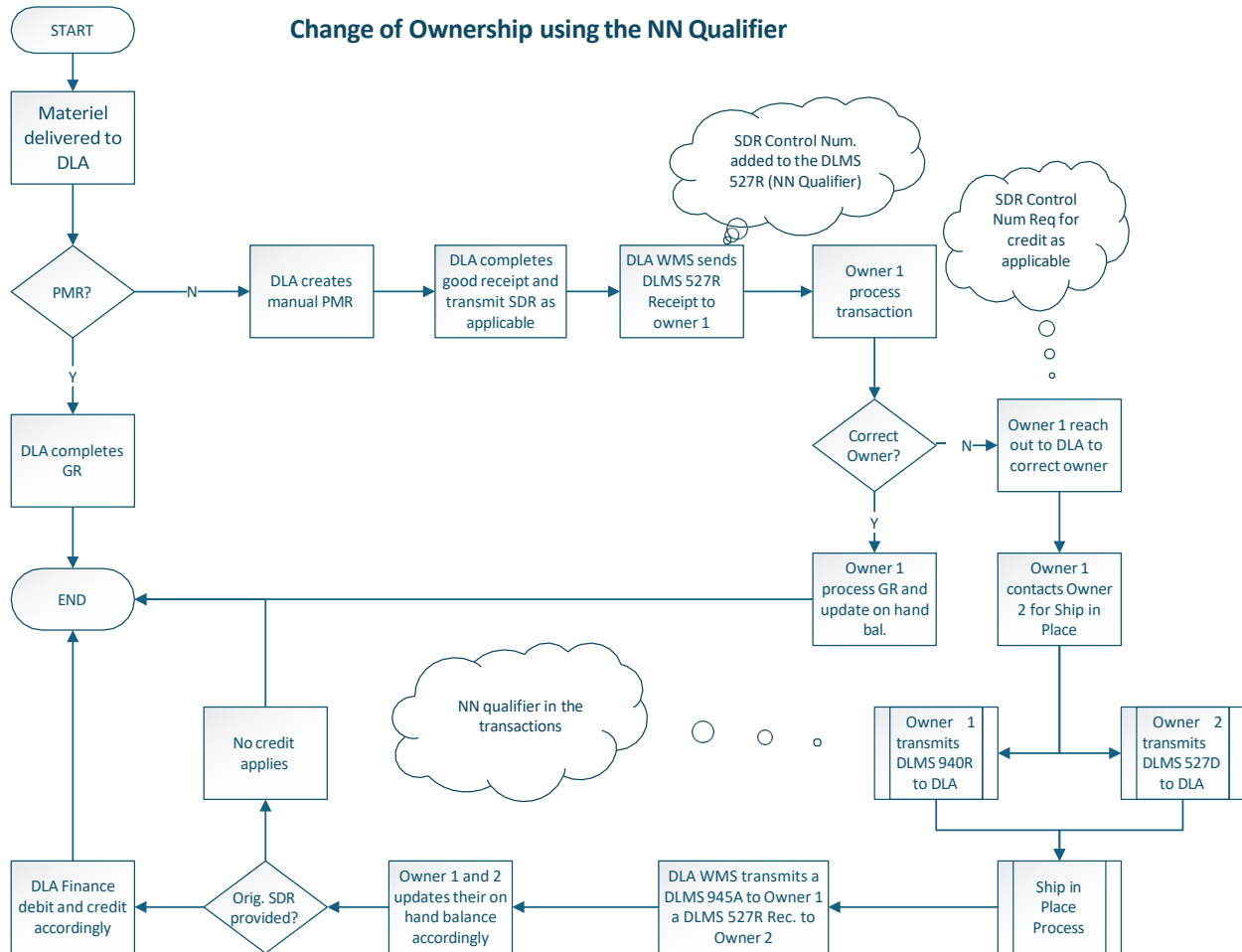
#	Location	DLMS 527R (Version 4010) Receipt, Inquiry, Response and MRA	Reason
1.	DLMS Introductory Note	Add DLMS Introductory Note as follows: - ADC 1492, Mandatory Use of Advanced Shipment Notice (Supply)	Add change to mandate ASN.
2.	2/N901/030	Add qualifier NN and DLMS Note as follows: NN – Nonconformance Report Number DLMS Note: Use to identify the submitting system’s SDR/SQCR report control number. This will be the originator’s system assigned number.	Add SDR Number to associate transactions used to provide credit due to ownership change(e.g., PMR, receipt, MRO Ship-in-Place, Issue, MRC).

#	Location	DLMS 867I (Version 4010) Issue	Reason
1.	DLMS Introductory Note	Add DLMS Introductory Note as follows: - ADC 1492, Mandatory Use of Advanced Shipment Notice (Supply)	Add change to mandate ASN.
2.	2/REF01/30	Add qualifier NN and DLMS Note as follows: NN – Nonconformance Report Number DLMS Note: Use to identify the submitting system’s SDR/SQCR report control number. This will be the originator’s system assigned number.	Add SDR Number to associate transactions used to provide credit due to ownership change (e.g., PMR, receipt, MRO Ship-in-Place, Issue, MRC).
3.	2/N101/50	Add new qualifier OW and DLMS note as follows: OW – Owner of Property or Unit DLMS Note: Used to communicate with the materiel owner that will be perpetuated into the shipment status/return.	Allow the MRO to carry the future owner of the shipment.

#	Location	DLMS 945A (Version 4030) Materiel Release Advice	Reason
1.	DLMS Introductory Note	<u>Add DLMS Introductory Note as follows:</u> - ADC 1492, Mandatory Use of Advanced Shipment Notice (Supply)	Add change to mandate ASN.
2.	2/N901/0400	<u>Add qualifier NN and DLMS Note as follows:</u> NN – Nonconformance Report Number DLMS Note: Use to identify the submitting system's SDR/SQCR report control number. This will be the originator's system assigned number.	Add SDR Number to associate transactions used to provide credit due to ownership change (e.g., PMR, receipt, MRO ship-in-place, issue, MRC.
3.	2/N101/0800	<u>Add new qualifier OW and DLMS note as follows:</u> OW – Owner of Property or Unit DLMS Note: Used to communicate with the materiel owner that will be perpetuated into the shipment status/return.	Allow the MRO to carry the future owner of the shipment.

Enclosure 3

Change of ownership using the “NN” qualifier is shown in the diagram below (wholesale).



Enclosure 4

OFFICIAL COMPONENT RESPONSE FORM FOR PDC 1492				
#	Location (Page/ Para)	Critical or Substantive	Comments, Justification, Recommended Change(s) and Defense Enterprise Data Standards Office (DEDSO) Justification for Resolution	Point of Contact
1.	N/A	N/A	Component Comment and Justification: Concur without comment. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: DAAS, Pablo Gomez Phone: (445) 737-0095 E-mail: pablo.gomez@dla.mil ☐ Same as initial comment row above.
2.	N/A	N/A	Component Comment and Justification: Concur without comment. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: DLA (Supply), Brian Anderson Phone: 445-737-5891 E-mail: brian.j2.anderson@dla.mil ☐ Same as initial comment row above.
3.	N/A	N/A	Component Comment and Justification: Concur without comment. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: DLA (SDR) Troy Brown Phone: (614) 693-2995 E-mail: Troy.Brown@dla.mil ☐ Same as initial comment row above.
4.	Page 4-6/5b.	Substantive	Component Comment and Justification: Concur with comment. Stock Control System (D035) may need to be added as an impacted Air Force APSR. These are transactions that D035 will also generate. Component Recommended Change: Add Stock Control system to the table of other impacted APSRs. DEDSO Response: Accept. DEDSO Reasoning: Updated table.	Name: USAF (Supply) William Wenzel Phone: 703-697-2611 E-mail: william.wenzel.1@us.af.mil ☐ Same as initial comment row above.
5.	N/A	N/A	Component Comment and Justification: Concur without comment. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: U.S. Navy, (Supply) Gerald O'Sullivan Phone: (717) 732-9359 E-mail: gerald.f.osullivan.civ@us.navy.mil ☐ Same as initial comment row above.

OFFICIAL COMPONENT RESPONSE FORM FOR PDC 1492				
#	Location (Page/ Para)	Critical or Substantive	Comments, Justification, Recommended Change(s) and Defense Enterprise Data Standards Office (DEDSO) Justification for Resolution	Point of Contact
6.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: U.S. Army, (Supply and SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
7.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: USMC, (Supply and SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
8.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: GSA, (Supply and SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
9.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: FAA, (Supply) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
10.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: USTRANSCOM, (Supply and SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
11.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: DSCA, (Supply and SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
12.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: SOCOM, (Supply) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.

OFFICIAL COMPONENT RESPONSE FORM FOR PDC 1492				
#	Location (Page/ Para)	Critical or Substantive	Comments, Justification, Recommended Change(s) and Defense Enterprise Data Standards Office (DEDSO) Justification for Resolution	Point of Contact
13.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: AFSAC, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
14.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: USAF, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
15.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: USASAC, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
16.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: DCMA, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
17.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: USCG, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
18.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: U.S. Navy, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.
19.	N/A	N/A	Component Comment and Justification: No response represents concurrence. Component Recommended Change: N/A DEDSO Response: Accept. DEDSO Reasoning: Insert reasoning.	Name: Navy FMS, (SDR) Phone: Insert phone. E-mail: Insert e-mail. ☐ Same as initial comment row above.